

Shor's Algorithm

EE599-001 & EE699-010, Spring 2026

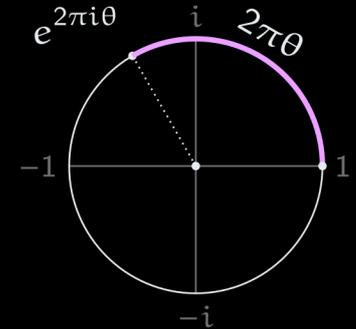
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<http://aggregate.org/hankd/>

Shor's Algorithm

- The quantum algorithm everyone hears about
 - Fastest algorithm to find prime factors
 - Modern encryption is based on the idea that, given the product of two large primes, it is very difficult to find the two primes
- Shor's algorithm has quantum subroutines, but it is mostly run on a conventional computer
- Not a trivial algorithm...

It's About Phase Estimation

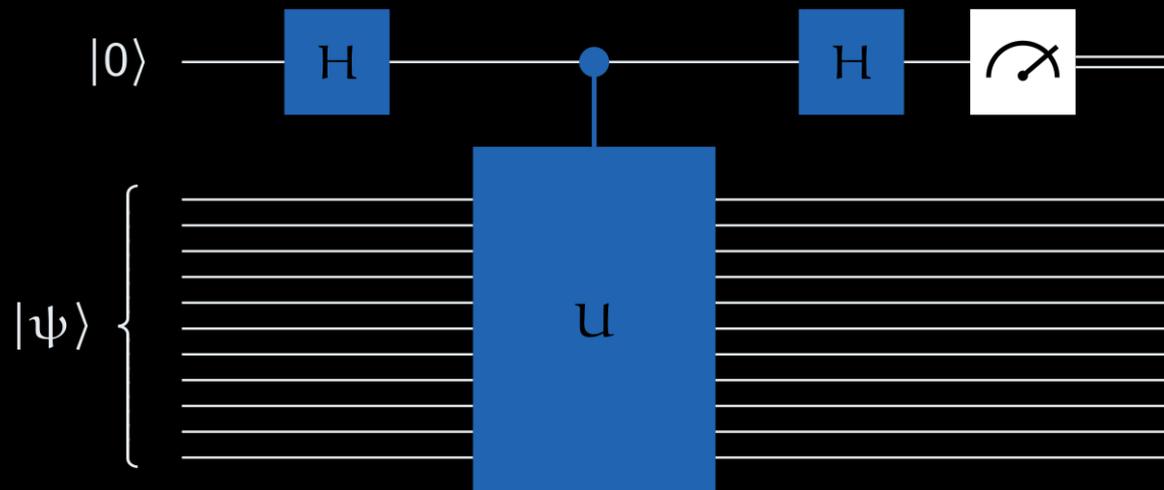


- Given:
 - A unitary quantum circuit U on n qubits
 - An n -qubit quantum state $|\psi\rangle$
 - **Promise** that $|\psi\rangle$ is an *eigenvector* of U
- Find an **m -bit fraction** approximating $\theta \in [0, 1)$ such that **$U|\psi\rangle = e^{2\pi i \theta}|\psi\rangle$**

Remember that **$e^{2\pi i \theta} = \cos(2\pi\theta) + i \sin(2\pi\theta)$**

Controlled-U Phase Kickback

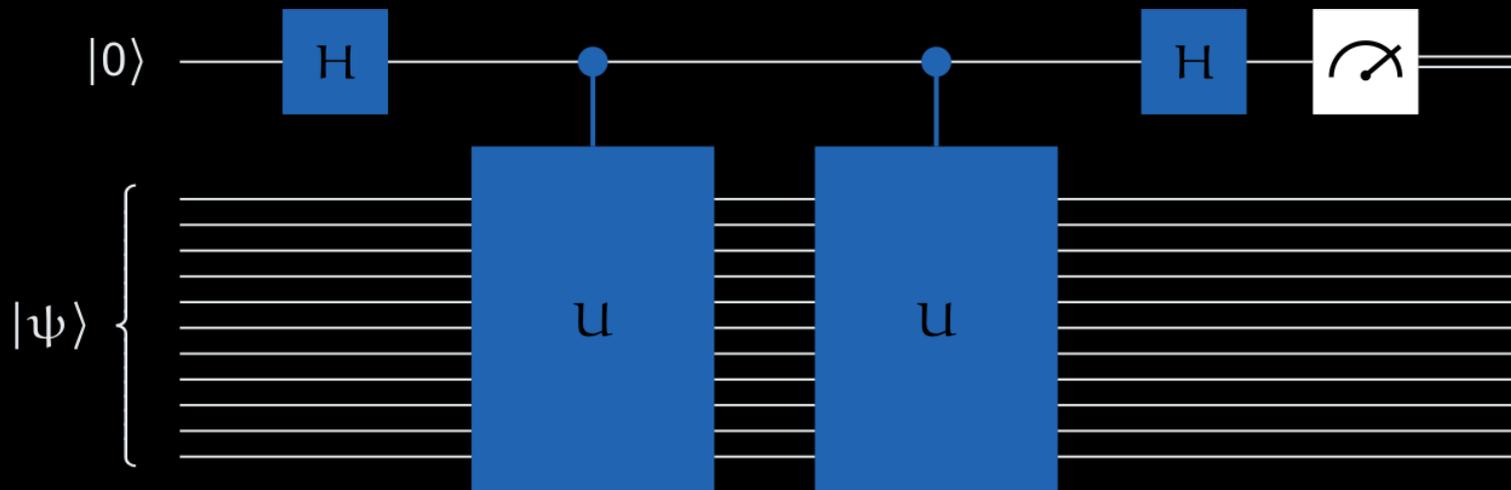
- To apply phase kickback
 - Create a controlled version of U
 - Sample it using this circuit:



- Result is $p(0)=\cos^2(\pi\theta)$ and $p(1)=\sin^2(\pi\theta)$

Phase Kickback Frequency

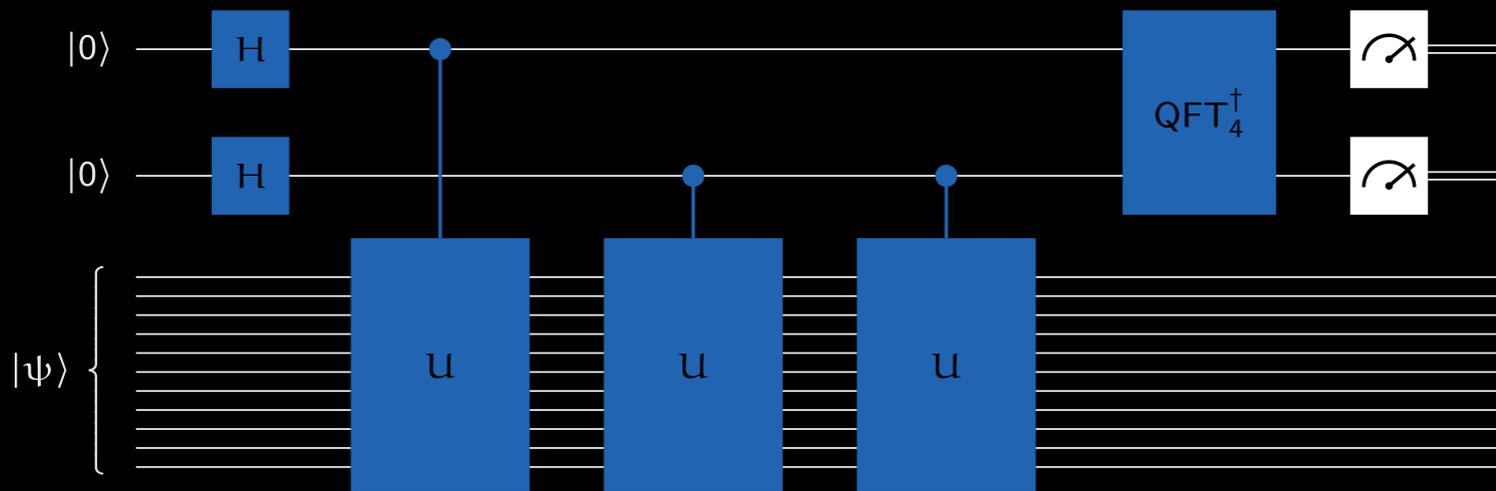
- Applying controlled-U twice
 - Squares the eigenvalue, doubles frequency
 - Sample it using this circuit:



- Want to decompose by both together...

2-bit Phase Estimation

- Composes the single and double applications
 - 2 qubits of phase output to sample
 - Distinguishes 2^2 phases



- What is that QFT_4^\dagger block?

Quantum Fourier Transform

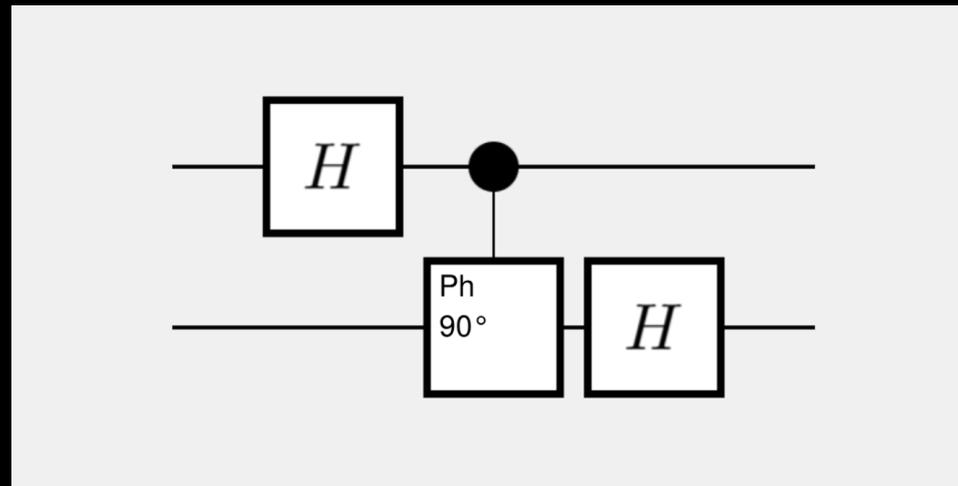
- QFT_4 is a unitary matrix applying the discrete Fourier transform distinguishing 4 phases

$$\text{QFT}_4 = \frac{1}{2} \begin{pmatrix} 1 & 1 & 1 & 1 \\ 1 & i & -1 & -i \\ 1 & -1 & 1 & -1 \\ 1 & -i & -1 & i \end{pmatrix}$$

- What gates implement that?

Quantum Fourier Transform

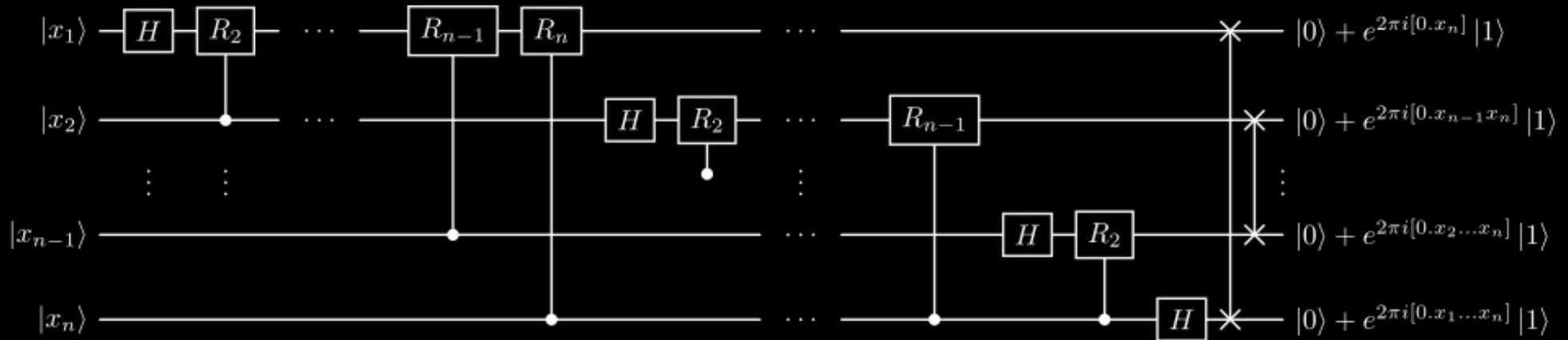
- QFT_4 can be built from H and controlled phase; phase gate is sometimes called R_k for $360/2^{k^0}$



- Larger QFT have sequences of phase shifts by correspondingly smaller angles

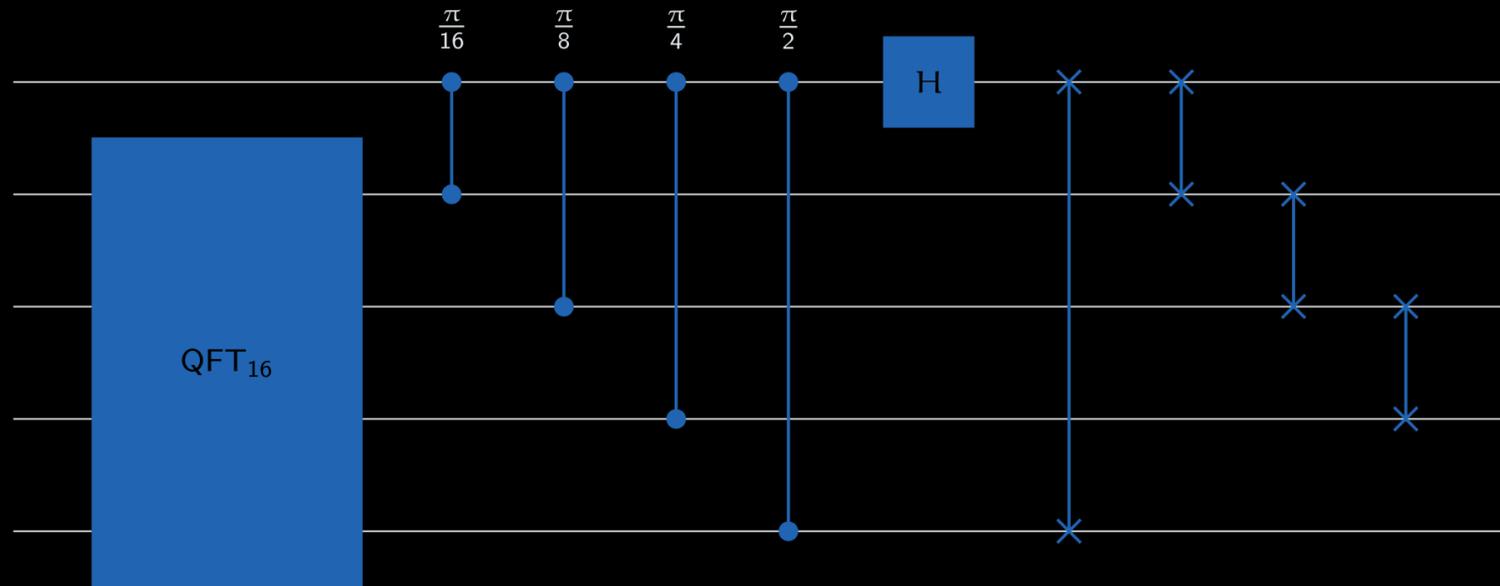
Quantum Fourier Transform

- QFT over n qubits is:



Quantum Fourier Transform

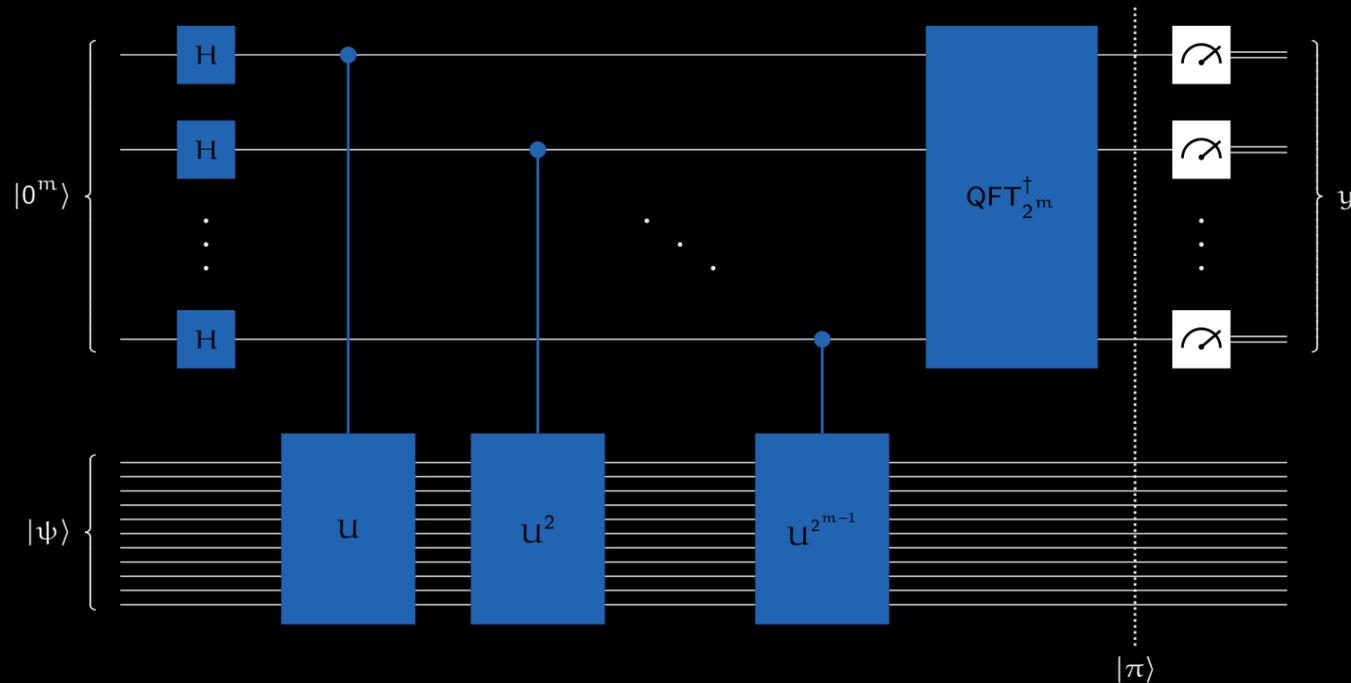
- IBM gives a recursive definition of QFT_{32} :



- Optimizations & approximations can be applied

Phase Estimation

- Evaluation of powers of U gets expensive for estimation with many bits of precision



Phase Estimation

- Probability of measuring the best approximation for γ is $\geq 4/\pi^2 \approx 40.5\%$
- Wrong values on either side have lower probabilities
- Can filter by mode of multiple measurements...

Order-Finding Problem

- For each positive integer N , define set $\mathbb{Z}_N = \{0, 1, \dots, N-1\}$ and arithmetic on $\mathbb{Z}_N \bmod N$
- Elements $a \in \mathbb{Z}_N$ where $\gcd(a, N) = 1$ is \mathbb{Z}_N^*
 $\mathbb{Z}_{21}^* = \{1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20\}$
- For $a \in \mathbb{Z}_N^* \exists$ positive integer $k: a^k = 1$
... the smallest such k is the order of a in \mathbb{Z}_N^*

Order-Finding Example

- For $N=21$:

$$\mathbb{Z}_{21}^* = \{1, 2, 4, 5, 8, 10, 11, 13, 16, 17, 19, 20\}$$

$$\begin{array}{cccccc} 1^1=1 & 2^6=1 & 4^3=1 & 5^6=1 & 8^2=1 & 10^6=1 \\ 11^6=1 & 13^2=1 & 16^3=1 & 17^6=1 & 19^6=1 & 20^2=1 \end{array}$$

- No efficient classical algorithm is known...
solving this would imply an efficient algorithm
for integer factorization

Order-Finding by Phase Est.

- Two positive integers a , b are **relatively prime** *iff* they have no common factors: $\gcd(a,b)=1$
- Relative primes are often used for rehashing when there is a collision in a hash function with b buckets:

If $\text{Hash}(v)$ collides, then try $(\text{Hash}(v)+a)\%b$,
 $(\text{Hash}(v)+a+a)\%b$, $(\text{Hash}(v)+a+a+a)\%b$, ...

All buckets of b will be tried before a repeat...

Order-Finding by Phase Est.

- For a given $a \in \mathbb{Z}_N^*$
 $M_a |x\rangle = |ax\rangle$ (for each $x \in \mathbb{Z}_N$)

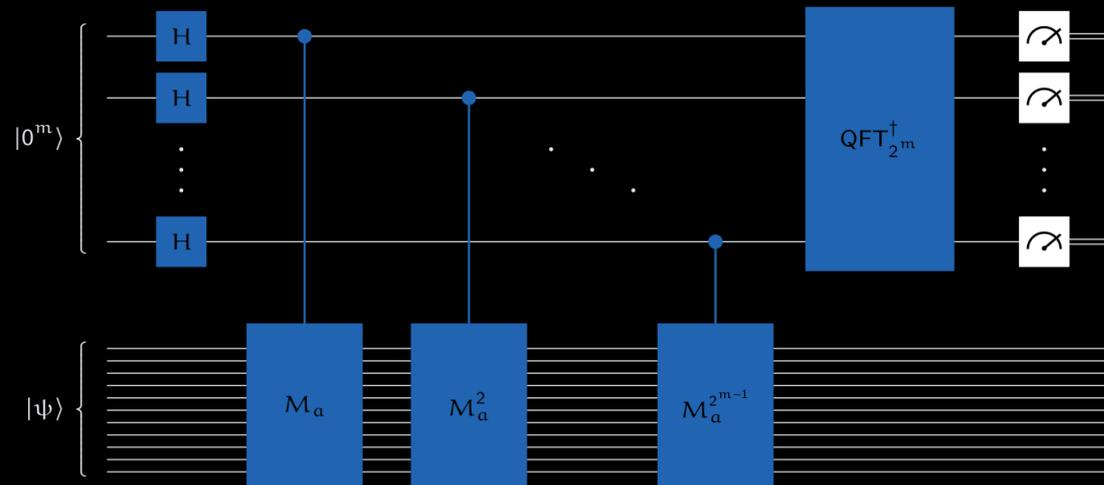
- For $N=8$ and $a=3$:

$$\begin{array}{cccc} M_3 |0\rangle = |0\rangle & M_3 |1\rangle = |3\rangle & M_3 |2\rangle = |6\rangle & M_3 |3\rangle = |1\rangle \\ M_3 |4\rangle = |4\rangle & M_3 |5\rangle = |7\rangle & M_3 |6\rangle = |2\rangle & M_3 |7\rangle = |5\rangle \end{array}$$

- Because 3,8 are **relatively prime**, $M_a |x\rangle$ is a **permutation**, so it is a **unitary matrix!**

Order-Finding by Phase Est.

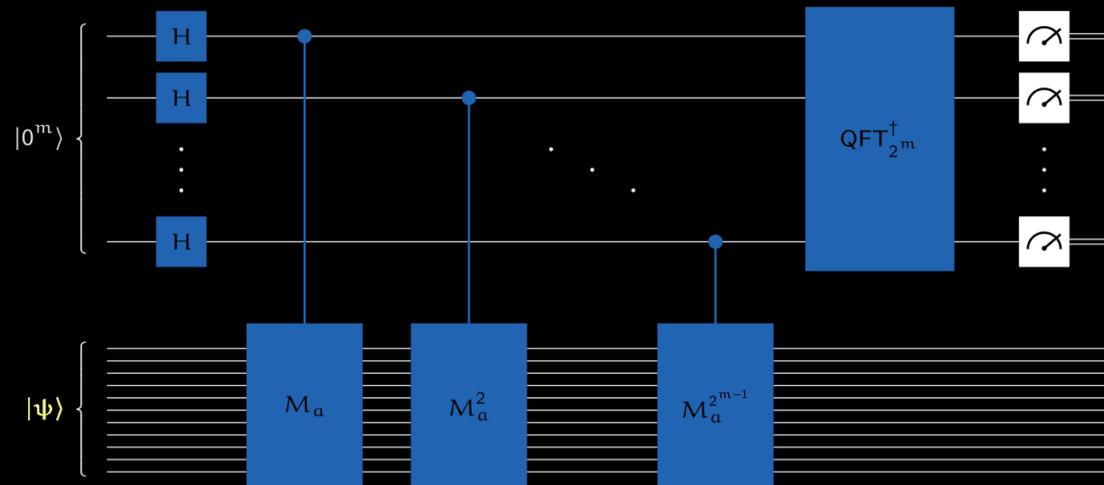
- Find order of $a \in \mathbb{Z}_N^*$ by estimating phase of M_a



- Need M_a^k for k is $2^0, 2^1, 2^2, \dots, 2^{m-1}$
where M_a^k is $a^k \% N$, computable with $O(n^2)$ cost

Order-Finding by Phase Est.

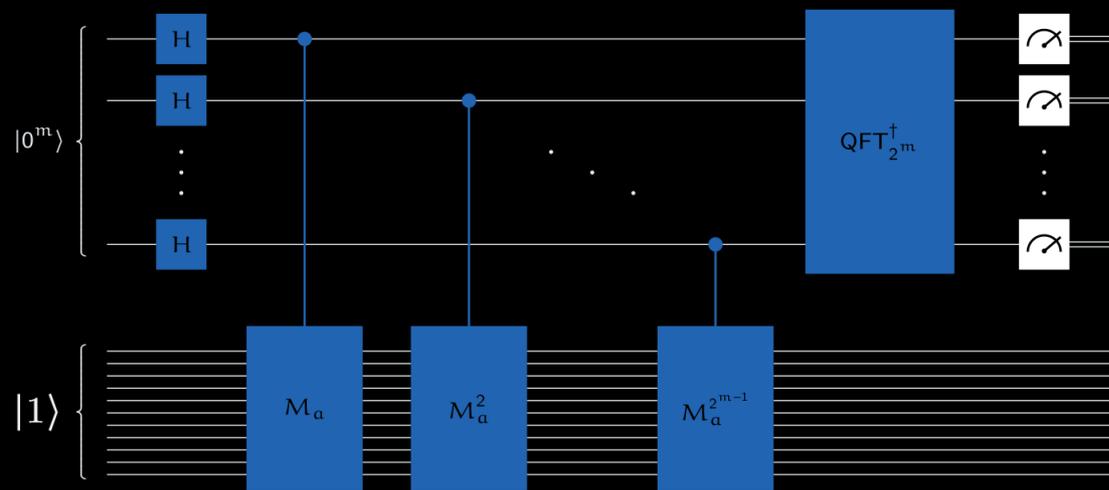
- Find order of $a \in \mathbb{Z}_N^*$ by estimating phase of M_a



- But how do I find an eigenvector $|\psi\rangle$?
We can simply use $|1\rangle$

Order-Finding by Phase Est.

- Total cost is $O(n^3)$ for n -bit values



- m Hadamard gates, cost $O(n)$
- m controlled unitary operations, cost $O(n^3)$
- one Quantum Fourier transform, cost $O(n^2)$

Algorithm Factoring N

1. Pick random $a \in \{2, \dots, N - 1\}$
2. Let $d = \text{gcd}(a, N)$
3. If $d \geq 2$, d is a factor and we're done
4. Let $r = \text{order of } a \% N$
5. If r is odd, fail
6. Let $d = \text{gcd}(a^{r/2} - 1, N)$
7. If $d \geq 2$, d is a factor; else fail

Greatest Common Divisor

- Euclidean algorithm for $\text{gcd}(a, N)$ given $N \geq a$

```
int
gcd(int a, int N)
{
    return (a ? gcd(N % a, a) : N);
}
```

- Quite fast on conventional hardware
- If $\text{gcd}(a, N) \equiv 1$ then a, N are relatively prime

Conventional Order Finding

- Sequential order(a,N) is $O(N)$, i.e., $O(2^n)$

```
int order(int a, int N) {
    int p=0;
    for (int i=0; i<N; ++i) {
        if (p == 1) return(i);
        p += a;
        if (p >= N) p -= N;
    }
    return(1); // failure
}
```

Shor's Algorithm in bc

- Really easy, really slow for big N...

```
# recursive GCD function
define g(a,n) {
  if (a) return(g(n % a, a))
  return(n)
}

# order of a % n
define o(a,n) {
  v=0
  for (i=0; i<n; ++i) {
    if (v==1) return(i)
    v += a
    if (v >= n) v -= n
  }
  return(-1) # failed to find order
}

# power e of a mod n
define p(a,e,n) {
  q=1
  while (e > 0) {
    if (e % 2) q = (a * q) % n
    a = (a * a) % n
    e = e / 2
  }
  return(q)
}
```

```
# factor n using guess a
define f(n,a) {
  d = g(a,n)
  if (d > 1) return(d)
  r = o(a,n)
  if (r % 2) return(1)
  w = p(a,r/2,n)
  if (w < 2) return(1)
  d = g(w-1,n)
  if (d > 1) return(d)
  return(1)
}

f(1679, 132)
1

f(1679, 318)
23

f(1679, 1197)
23

1679/23
73
23*73
1679
█
```

Algorithm Factoring N

- How often does this fail?
Claimed less than 50% of the time!
- Very unlikely we're done in step 3, but ...
 - $a^r \% N \equiv 1 \text{ iff } (a^r - 1) \% N \equiv 0$
 - If r is even, $(a^r - 1) = (a^{r/2} + 1)(a^{r/2} - 1)$ and a prime factor of N is likely to divide $(a^{r/2} - 1)$
 - Factoring values of N that have just two prime factors, it seems to fail more often?

Shor's Algorithm in `qiskit`

- Here's factoring 15 using `qiskit`:

<https://quantum.cloud.ibm.com/docs/en/tutorials/shors-algorithm>